# Supporting Information: Rapid Recombination by Cadmium Vacancies in CdTe

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#### S1 Computational Methods

All calculations were performed using Density Functional Theory (DFT) within periodic boundary conditions through the Vienna *Ab Initio* Simulation Package (VASP).<sup>S1–S3</sup> The screened hybrid DFT exchange-correlation functional of Heyd, Scuseria and Ernzerhof (HSE)<sup>S4</sup> was used for geometry optimizations, calculations of optical dielectric constants, total energies and electronic band structures.<sup>S5</sup> HSE is a range-separated, screened hybrid-DFT functional which incorporates a portion of exact Hartree–Fock exchange  $\alpha_{exx}$  for short-range interactions, using a screening parameter of  $\omega = 0.11$  bohr<sup>-1</sup>, with the remaining exchangecorrelation effects treated by the Generalized Gradient Approximation (GGA) DFT functional PBE.<sup>S6</sup> With the HSE functional, the DFT exchange-correlation energy  $E_{xc}$  is given as:

$$E_{xc}^{HSE}(\alpha_{exx},\omega) = \alpha_{exx}E_x^{HF}(\omega) + (1 - \alpha_{exx})E_x^{PBE,SR}(\omega) + E_x^{PBE,LR}(\omega) + E_c^{PBE}$$
(1)

where "SR" and "LR" refer to the short and long-range potential components, respectively. To fully account for relativistic effects, spin–orbit interactions were included (HSE+SOC) in all total energy, electronic and optical calculations. Using the projector-augmented wave method, scalar-relativistic pseudopotentials were employed to describe the interaction between core and valence electrons.<sup>S7</sup>

The ionic dielectric response was calculated under Density Functional Perturbation Theory (DFPT) using the PBEsol GGA DFT functional - which has been shown to yield accurate results for this form of calculation,  $^{S8,S9}$  while the optical response was calculated using the method of Furthmüller *et al.* to obtain the high-frequency real and imaginary dielectric functions.  $^{S8}$ 

A convergence criterion of 0.01 eV/Å was imposed on the forces on each atom during structural optimization, both for the bulk material and defect supercells. Bulk electronic structure calculations were carried out with a two-atom primitive unit cell, using a  $12 \times 12 \times 12$  $\Gamma$ -centerd Monkhorst-Pack **k**-point mesh (equivalent to a **k**-point density of  $0.1387 \text{ Å}^{-1}$  in reciprocal space) and a well-converged 450 eV plane-wave energy cutoff. Charge carrier effective masses were obtained from non-parabolic fitting of the electronic band edges using the *effmass* package,<sup>S10</sup> and electronic band structure diagrams were generated using the sumo package.<sup>S11</sup>

For defect calculations, a 64-atom supercell was used, produced from a  $2 \times 2 \times 2$  cubic expansion of the conventional zinc-blende CdTe unit cell. The same plane-wave energy cutoff (450 eV) was employed, with a  $2 \times 2 \times 2$   $\Gamma$ -centerd Monkhorst-Pack **k**-point mesh (equivalent to a **k**-point density of  $0.24 \text{ Å}^{-1}$  in reciprocal space). Each defective supercell was relaxed to the same ionic force convergence criteria (0.01 eV/Å) as for bulk structure optimization, with spin-polarization allowed, prior to a static total-energy calculation with the inclusion of spin-orbit coupling effects. To account for spurious finite-size supercell effects, the Lany-Zunger image charge and potential alignment correction scheme was implemented.<sup>S12</sup> If necessary, Moss-Burstein type band filling corrections were also applied.<sup>S13</sup> Convergence of defect formation energy with respect to supercell size was tested by recalculating the formation energy of the neutral  $V_{\rm Cd}^{0}$  and doubly-charged cadmium vacancy  $V_{\rm Cd}^{-2}$  using a 216-atom supercell (3 × 3 × 3 cubic expansion of the conventional unit cell), for which the formation energies changed by less than 35 meV in both cases.

For the calculation of optical transition energies, charge corrections were performed using the GKFO method for vertical defect transitions,<sup>S14</sup> again with a Lany-Zunger-type 2/3 scaling of the point-charge correction energy. Vibronic coupling to yield absorption lineshapes were calculated using the formalism outlined in Ref. S15. Defect concentrations were calculated with the SC-FERMI code,<sup>S16</sup> using the calculated formation energies of all intrinsic defects in CdTe — a more detailed discussion of which will be given elsewhere, and Crystal Orbital Hamiltonian Populations (COHP) were calculated using the LOBSTER package.<sup>S17</sup> Anharmonic carrier capture coefficients were calculated using the CarrierCapture.jl code,<sup>S18</sup> with electron-phonon coupling matrix elements determined using the method outline in Ref. S19 — further details provided in Section S8.

### S2 Bandgap Corrected Hybrid DFT Functional



Figure S1: Variation of the calculated cubic lattice parameter (**a**) and fundamental electronic gap (**b**) with respect to HSE exchange fraction  $\alpha$  for CdTe, from 0 to 80% for the lattice constant, and from 20 to 40% for the bandgap.

To comprehensively and self-consistently investigate the influence of the fraction of exact exchange  $\alpha$ , employed in the electronic structure model, on the predicted structural and electronic properties of CdTe, bulk structural optimization followed by calculation of the electronic band structure was carried out using the HSE functional with spin-orbit coupling effects (HSE+SOC) for values of  $\alpha$  ranging from 0 to 80%, with the results shown in Figure S1. Quasi-Particle Self-Consistent *GW* calculations of the electronic bandgap were also performed, for comparison. As expected, the CdTe lattice parameter decreases monotonically, while the calculated bandgap monotonically increases, as the fraction of exact exchange is increased, due to the increased localization of the electronic states (decreasing the calculated energy of the occupied valence band, and increasing the energy of the unoccupied conduction band).<sup>S20,S21</sup> We find the the room-temperature (RT) experimental gap of 1.5 eVis reproduced at  $\alpha = 34.5$ %, and so this value was chosen for the electronic structure model employed in our investigations. This value corresponds to a relaxed lattice constant of 6.54 Å, in good agreement with the experimental value of 6.48 Å(less than 1% deviation).<sup>S22</sup> We note that Pan et al.<sup>S23</sup> found a similar fraction of exchange (33%) to reproduce the 1.5 eVexperimental bandgap of CdTe<sup>S23</sup> - the slight discrepancy in  $\alpha$  likely due to their use of the PBEsol GGA functional for structural optimization.

The same analysis of exchange-property relationships was also applied to other relevant material properties, such as the calculated electron and hole effective masses, spin-orbit splittings and interband transition energies, as shown in Figures S2 and S3.



Figure S2: Variation of the calculated charge carrier effective masses for CdTe with respect to HSE exchange fraction  $\alpha$ , from 20 to 40%. Experimental values from Madelung,<sup>S24</sup> Strauss<sup>S22</sup> and Thomas.<sup>S25</sup>



Figure S3: Variation of the calculated spin-orbit splittings and interband transition energies for CdTe with respect to HSE exchange fraction  $\alpha$ , from 20 to 40%. Experimental values from Madelung,<sup>S24</sup> Strauss<sup>S22</sup> and Thomas.<sup>S25</sup>



Figure S3: (contd.)Variation of the calculated spin-orbit splittings and interband transition energies for CdTe with respect to HSE exchange fraction  $\alpha$ , from 20 to 40 %. Experimental values from Madelung,<sup>S24</sup> Strauss<sup>S22</sup> and Thomas.<sup>S25</sup>

#### S3 Bulk Electronic Structure

Using the HSE+SOC functional with 34.5% exact exchange (HSE(34.5%) + SOC), the electronic structure of bulk CdTe was calculated, with the results provided in Figure S4.



Figure S4: Calculated electronic band structure (**a**) and orbital-projected density of states (**b**) for CdTe, using the HSE(34.5%)+SOC functional. Valence band in blue, conduction band in orange. VBM set to 0 eV.

CdTe exhibits a direct electronic bandgap, located at  $\Gamma = (0, 0, 0)$ . Under a standard Molecular Orbital Theory picture, the valence electronic configurations of cadmium and tellurium in their formal oxidation states - Cd<sup>2+</sup> (4 d<sup>10</sup>5 s<sup>0</sup>) and Te<sup>2-</sup> (5 s<sup>2</sup>5 p<sup>6</sup>) - suggest an valence band maximum comprised of Te 5p orbitals and a conduction band minimum formed of Cd 5s states. Indeed, analysis of the calculated electronic density of states (Figure S4b) and band orbital characters revealed a conduction band edge dominated by anti-bonding interactions between the Cd s and Te sp hybridized orbitals, while the valence band states primarily arise from the Te p orbitals, as expected.

Notably, the fundamental bandgap was initially calculated as 1.80 eV using the HSE(34.5%) functional, which decreased to 1.50 eV upon the inclusion of spin-orbit coupling (SOC) effects. This reduction in bandgap is due to the spin-orbit splitting of the Te p - dominated VBM states, as the 5p orbitals split into  $5p_{1/2}$  and  $5p_{3/2}$  states, yielding a VBM upshift of 300 meV. This value is similar to that reported by Pan et al. <sup>S23</sup> (330 meV, with the slight 10% deviation believed to be a result of their use of the semi-local GGA DFT approximation for structural optimization. The spin-orbit splitting of the VBM states can be witnessed in Figure S4a, where the triply-degenerate valence bands at  $\Gamma$  (in the non-SOC case) split into

a pair of degenerate bands at the VBM and a band at  $-0.9\,\mathrm{eV}$  below the VBM.

#### S4 Neutral Vacancy Bonding Analysis

Crystal Orbital Hamilton Population analysis (COHP) involves partitioning the band-structure energy of a material into a sum of pairwise atomic orbital interactions.<sup>S26,S27</sup> It is defined as:

$$COHP_{\mu\nu}(E) = H_{\mu\nu}(E)P_{\mu\nu}(E)$$
(2)

$$H_{\mu\nu} = \langle \phi_{\mu} | \hat{H} | \phi_{\nu} \rangle \tag{3}$$

$$P_{\mu\nu} = \sum_{i} f_{i} c^{*}_{\mu i} c_{\nu i} \delta(\epsilon - \epsilon_{i})$$
(4)

In essence, COHP analysis indicates bonding, nonbonding, and antibonding energy regions in the electronic density of states, providing a powerful tool for the inspection of chemical bonding behavior in materials. As such, the integrated Crystal Orbital Hamilton Population (ICOHP) for a given pair of atoms or orbitals (i.e. the energy contribution to the bandstructure energy) can be viewed as a *measure* of the total energetic contribution of the (anti-)bonding interaction of that pair to the system energy.

$$\mathrm{ICOHP}_{\mu\nu}(E) = \int_{-\infty}^{\epsilon_f} \mathrm{COHP}_{\mu\nu}(E) \, dE$$
(5)

A negative value for the (I)COHP indicates an (overall) energy lowering orbital interaction (i.e. bonding), while a positive value indicates anti-bonding type interactions. In the case of the neutral Cd vacancy, the -ICOHP of the two 'dimer' Te atoms is calculated as 4.39 eV, contrasted to a value of 0.028 eV for the Te-Te interaction in bulk CdTe, indicating a strong bonding interaction at this site. Remarkably, this value is in fact larger than the -ICOHP of the per-bond Cd-Te interaction in the bulk (4.02 eV/bond), though of course this comes at the expense of bonding interactions with nearby Cd for the 'dimer' Te atoms. For the tetrahedral and bi-polaron  $V_{Cd}^{0}$  structural arrangements, the maximum Te-Te -ICOHP was calculated as 0.08 eV and 0.05 eV respectively.

The COHP(E) plot for the dimer Te-Te interaction, provided in Figure S5, shows a primar-



Figure S5: COHP(E) analysis of the Te-Te dimer interaction in  $V_{\rm Cd}^0$ , showing the total (a) and *l*-decomposed (b-d) contributions.

ily bonding interaction within the VBM, below the Fermi level, and a strong anti-bonding interaction just above the CBM (at  $\geq 2 \text{ eV}$ ).

The electronic density of states for a CdTe supercell containing this defect species is provided in Figure S6, showing a Te sp peak above the CBM, corresponding to the  $\sigma$  antibonding state of the Te dimer.



Figure S6: Electronic density of states for a CdTe supercell containing the Te-Te dimer  $V_{\rm Cd}^{0}$  species. Fermi level set to 0 eV.

It is worth noting that this Te-dimer structure resembles that observed at low energy surfaces and grain boundaries of CdTe.<sup>S28-S30</sup> A similar metal-metal dimer reconstruction was also found for neutral *anion* vacancies in ZnSe and ZnS.<sup>S31</sup> Here we observe a similar metal-metal dimer for the *cation* vacancy in CdTe, facilitated by the metalloid character of the Te anion.

#### S4.1 Bipolaron to Tetrahedral Cd Vacancy PES

The potential energy surface along the configurational coordinate path from the metastable high-spin  $(S = 1) C_{2v}$  bipolaron solution for  $V_{Cd}^0$  to tetrahedral  $(T_d)$  geometry is shown in Figure S7. Note that the low-spin  $(S = 0) C_{2v}$  bipolaron structure occurs along this distortion path, producing a divot in the PES at  $Q \simeq 6 \text{ amu}^{1/2} \text{\AA}$ .



Figure S7: Potential energy surface for  $V_{\rm Cd}^0$  along the configurational path from the highspin  $C_{2v}$  Bipolaron configuration  $(Q = 0 \, {\rm amu}^{1/2} {\rm \AA})$  to tetrahedral  $(Q \simeq 20 \, {\rm amu}^{1/2} {\rm \AA})$  arrangement. Solid blue circles represent the calculated formation energies at a given configuration coordinate and the quadratic curves are harmonic fits. Q is given in terms of mass-weighted displacement and Te-rich conditions  $(\mu_{\rm Te} = 0)$  assumed.

As with similar two-hole polaron cation vacancy defects in II-VI compounds,<sup>S32</sup> the high-spin (HS) state is found to be the lower energy configuration ( $\Delta E_{LS-HS} = 40 \text{ meV}$ ). However, unlike BeO and ZnO (for which this structure has been experimentally-verified as the equilibrium cation vacancy configuration),<sup>S32,S33</sup> this bipolaron state is only metastable in CdTe, due to a combination of contributing factors. Firstly, the bipolaronic vacancy is found to decrease in stability (relative to a tetrahedral arrangement) as the size of the group-VI anion increases from O to S to Se to Te, due to decreasing localization of the valence porbitals.<sup>S32,S34</sup> The lower electronegativity difference and larger atomic radius (of Cd relative to Zn and Be) in CdTe, also act to decrease the stability of this species. Combined with the ability of the metalloidic Te anion to form stable metal-metal dimers, these factors lead to the bipolaron vacancy structure lying  $\Delta E_{\rm Bipolaron/Te Dimer} = 0.47 \,\mathrm{eV}$  higher in energy than the Te-dimer arrangement for  $V_{\rm Cd}^0$ .

#### S5 Thermodynamic Cadmium Vacancy Acceptor Level

Table S1:	Experimental reports of the	ne thermodynamic	charge transition	energy level of the
$\operatorname{cadmium}$	vacancy acceptor in CdTe.	Energies given rel	ative to the VBN	1.

Measurement Technique / DFT Functional	$\varepsilon(0/2-)$ [eV]
$HSE(34.5\%)+SOC (LZ \ Correction)$ - This Work	0.35
Deep Level Transient Spectroscopy <sup>S35–S38</sup> (DLTS)	0.29 - 0.40
Electronic and Optical Hall Measurements <sup>S39,S40</sup>	0.30 - 0.37
Dark Current Spectroscopy <sup>S41</sup>	$0.44(1){ m eV}$
$HSE(34.5\%)+SOC \ (FNV \ Correction)^{S42}$ - This Work	0.47

#### S6 Discrepancies in Theoretical Studies

The reasons for which previous works have not identified negative-U behavior for the cadmium vacancy are twofold; namely incomplete mapping of the defect potential energy surface (overlooking the Te dimer  $V_{\rm Cd}^0$  groundstate) and inherent qualitative errors in lower levels of electronic structure theory (destabilizing localized solutions, namely the  $V_{\rm Cd}^{-1}$  smallpolaron).

#### S6.1 Incomplete Potential Energy Surface Mapping

As demonstrated in Figures 3 and S7, there are often multiple locally-stable configurations for a given defect species. Local optimization algorithms are inherently sensitive to the initial atomic configurations, and thus can become trapped at a local minimum on the PES, failing to obtain the true global minimum. In the case of  $V_{\rm Cd}^{0}$ , distortion of the ideal vacancy structure prior to geometry optimization, on the basis of chemical intuition, was required to obtain the equilibrium Te dimer coordination. As evidenced by Figure 4, incorrect prediction of the atomic defect structure can drastically affect the expected electronic behavior; in this case shifting from a single negative-U / (0/2-) charge transition level at 0.35 eV above the VBM, to shallow (0/-) and (-/2-) levels with  $V_{\rm Cd}^{0}$  unstable for  $E_F$  within the bandgap. The challenge of global optimization is by no means a novel issue. Presently, researchers tend to rely on chemical intuition and heuristics to identify probable minimum-energy atomic arrangements for defect structures, <sup>S43–S45</sup> with the formulation of a general procedure for this crucial step in defect investigations remaining a challenge for the field.

Notably, by sampling the PES via random 'rattling' atomic displacements, we identify another different locally-stable  $C_{2v}$  coordination for  $V_{\rm Cd}{}^0$ , involving parallel spin-polarized holes localized on two of the neighboring Te atoms (which move away from the vacancy site, as the other two move closer)(Figure 2). This two-hole bi-polaron structure is reminiscent of that observed for neutral metal vacancies in other II-VI compounds, such as BeO and ZnO.<sup>S32,S33</sup> While energetically favorable relative to the tetrahedral coordination  $(\Delta E_{T_d/Bipolaron} = 0.05 \,\text{eV}$ , Figure S7), this bi-polaron arrangement is only metastable in CdTe (a consequence of the small electronegativity difference and large atomic radii), lying 470 meV higher in energy than the Te-dimer vacancy structure (Section S4.1).

#### S6.2 Functional Choice

The judicious choice of exchange-correlation energy functional within DFT is crucial to the accuracy of the electronic structure model.<sup>S44,S46-S49</sup> The singly-charged cadmium vacancy provides a striking example of this requirement for an appropriately high level of theory in defect investigations.

As discussed by Lindström et al.,<sup>S44</sup> all earlier theoretical investigations of defects in CdTe did not identify the experimentally-observed  $C_{3v}$  trigonally-distorted  $V_{\rm Cd}^{-1}$  structure.<sup>S43,S50–S55</sup> In this case, failure to predict this structure cannot be attributed to incomplete sampling of the defect PES as (1) we find the single-negative-charge vacancy to spontaneously relax to this configuration, from an initial *undistorted* vacancy coordination, and (2) several of these

studies explicitly trialled  $C_{3v}$ -distorted structures, <sup>S43,S51,S54,S56</sup> finding them unstable.

The origin of this discrepancy resides in the fraction of exact Hartree-Fock exchange  $\alpha_{exx}$ included in the hybrid functional. Using the common value of  $\alpha_{exx} = 25\%$ , as in the popular HSE06 functional, the  $C_{3v}$  polaronic state is calculated to lie only several meV lower in energy than the  $T_d$  solution, depending on the choice of other calculation parameters such as k-point sampling and inclusion of SOC (this work and Refs. S34,S54,S56). Consequently, the shallow local minimum of the  $C_{3v}$  state becomes near-impossible to locate. Increasing the fraction of exact exchange, as performed in this study (Section S2), favors localized electronic states and thus lowers the energy of the polaronic state relative to the delocalized tetrahedral solution ( $\Delta E_{C_{3v}/T_d} = 130 \text{ meV}$ , compared to 37 meV for HSE06<sup>S34</sup>) — resulting in the correct defect behavior.<sup>S57,S58</sup> This is a strong validation of the accuracy of bandgapcorrected hybrid functionals in the investigation and prediction of crystal defect properties.

**Spin-Orbit Coupling** Furthermore, while Ref. S44 obtained the same equilibrium structures as in our investigations, their results still indicated a small stability window of 0.06 eV for  $V_{\rm Cd}^{-1}$ , thus predicting two defect levels in the bandgap. We believe the origin of this discrepancy with our results is the neglect of spin-orbit coupling (SOC) effects in this study. From analysis of the orbital-projected density of states for both the SOC and spin-polarized non-SOC calculations (Section S7), we observe that the spin-orbit splitting of the singlyoccupied  $B_2 V_{\rm Cd}^{-1}$  level ( $\Delta_{SO} \simeq 660 \text{ meV}$ ) is less than that of the Te p VBM states ( $\Delta_{SO} =$ 880 meV), resulting in reduced separation of the VBM and the unoccupied  $B_2 V_{\rm Cd}^{-1}$  level upon explicit inclusion of spin-orbit effects (See Figure S8 and Section S7 for further detail). Consequently, the spin-stabilization of the occupied  $B_2 V_{\rm Cd}^{-1}$  electronic state, located within the valence band, is overestimated when SOC is neglected. These observations provide a clear illustration of the necessity for the explicit inclusion of spin-orbit interactions within the electronic structure model for accurate prediction of defect behavior in heavyatom compounds.



Figure S8: Site-projected electronic density of states for the Te atom furthest from the vacancy site (upon which the hole polaron is localized) in  $V_{\rm Cd}^{-1}$ , with spin-polarized, non-SOC HSE(34.5%) functional (**a**) and HSE(34.5%)+SOC (**b**). Fermi level set to 0 eV.

Upon explicit inclusion of spin-orbit coupling (SOC) effects in our electronic structure model (i.e. changing from the spin-polarized, non-SOC HSE(34.5%) functional to HSE(34.5%)+SOC), we observe a spin-orbit splitting of  $\Delta_{SO} = 880 \text{ meV}$  in the Te p VBM states, in good agreement with experimental measurements. <sup>S22,S24,S25</sup> This produces an upshift in energy of the valence band maximum of 300 meV (Sections S2 and S3), as noted by Pan et al.. <sup>S23</sup> However, upon inclusion of SOC, the spin-orbit splitting of the singly-occupied  $B_2$   $V_{Cd}^{-1}$  level is approximately  $\Delta_{SO} \simeq 660 \text{ meV}$ , less than that of the Te p VBM states ( $\Delta_{SO} = 880 \text{ meV}$ ) and thus reducing the separation between the VBM and the unoccupied  $B_2$   $V_{Cd}^{-1}$  level by 200 meV relative to the non-SOC case (Figure S8). The spin-stabilization of the occupied  $B_2$   $V_{Cd}^{-1}$  levels is a lower p-character of this state compared to the VBM states (from orbital-projection analysis of the electron bands). Consequently, we predict the minus charge state to be 130 meV higher in energy at the  $\epsilon(0/2-)$  charge transition level than the neutral  $V_{Cd}^0$  and double-negative  $V_{Cd}^{-2}$  defects.

#### S8 Carrier Capture

While we predict only a single thermodynamic charge transition level for the cadmium vacancy (Figure 4) — corresponding to the addition or removal of two electrons, this in fact does not correspond to an active charge-carrier capture level. The simultaneous capture of two electrons or holes is highly unlikely, and so it is the sequential capture of single carriers that is relevant to the overall non-radiative recombination behavior. Thus, we identify 4 possible trap levels for the Cd vacancy in CdTe; (-2/-) and  $(-/0)_x$  for each of x = Te-Te dimer, Bipolaron and T<sub>d</sub> configurations of  $V_{Cd}^0$ . These correspond to intersections of lines in the defect formation energy diagram in Figure 4, where the charge states differ by +/-1.

For the theory and implementation of non-radiative carrier capture, we direct the reader to Refs. S59 and S60 respectively. The workflow for each trap level may be crudely summarized as:

- Generate a PES configuration coordinate diagram, by performing a series of singlepoint calculations for atomic structures along the interpolated path between the equilibrium configurations of the two defect charge states.
- Find a best fit to generate the potential energy surfaces and solve the 1D Schrödinger equation, for each PES, to obtain the nuclear (phonon) wavefunctions  $\chi_{im}$  and  $\chi_{fn}$ .
- Calculate the electron-phonon coupling matrix elements  $W_{if}$  for the band edge and localized defect single-particle states, under static coupling perturbation theory.
- If applicable, calculate the Sommerfeld factor<sup>S61</sup> s(T) (to account for the Coulombic interaction between the charge carrier and a charged defect) and a scaling factor f to account for charged supercell effects on electron-phonon coupling.
- Calculate carrier capture coefficients  $C_{p/n}$  and cross-sections  $\sigma_{p/n}$  according to:

$$\tilde{C} = \tilde{V} \frac{2\pi}{\hbar} g |W_{if}|^2 \sum_{m,n} w_m |\langle \chi_{im} | \Delta Q | \chi_{fn} \rangle|^2 \times \delta(\Delta E + m\hbar\Omega_i - n\hbar\Omega_f)$$
(6)

$$C = s(T)f\tilde{C} \tag{7}$$

$$\sigma = \frac{C}{\langle v_{th} \rangle} \tag{8}$$

where  $\tilde{V}$  is the volume of the supercell, g is the degeneracy of the final defect state,  $w_m$ is the thermal occupation of vibrational state m at temperature T,  $\Delta Q$  is the massweighted displacement between equilibrium defect configurations,  $\Delta E$  is the thermodynamic transition energy between defect charge states,  $\Omega_{i/f}$  are the effective vibration energies and  $\langle v_{th} \rangle = \sqrt{3k_BT/m^*}$  is the thermal carrier velocity.

The overall rate of electron capture  $R_{X,q,n}$  for a defect center X in charge state q is then:

$$R_{X,q,n} = C_{X,q} N_{X,q} n \tag{9}$$

where  $N_{X,q}$  is the concentration of defect X in charge state q, and n is the electron carrier concentration. An analogous equation holds for the overall rate of hole capture  $R_{X,q,p}$ .

The  $(-/0)_{T_d}$  transition can immediately be ruled out as a potential recombination center, as it presents a transition level 30 meV below the VBM (Figure 4) and is thus a shallow trap state. Consequently, it will act to capture and emit holes, affecting charge transport but not facilitating recombination.

The calculated PESs (configuration coordinate diagrams) for the (2 - / -),  $(-/0)_{\text{Te Dimer}}$  and  $(-/0)_{\text{Bipolaron}}$  transitions are shown in Figure S9.



Figure S9: Potential energy surfaces of the (a) (2 - /-), (b)  $(-/0)_{\text{Te Dimer}}$  and (c)  $(-/0)_{\text{Bipolaron}}$  charge transitions for  $V_{\text{Cd}}$  in CdTe, with  $\Delta E_{p/n}^{q}$  denoting the classical energy barrier to hole/electron capture by a vacancy in charge state q. Filled circles represent calculated energies and the solid lines are best fits to the data. The vibrational wave functions, determined via the 1D Schrödinger equation, are also shown. Q is the configurational coordinate path between equilibrium configurations, given in terms of mass-weighted displacement.

Note that the metastable tetrahedral  $T_d$  arrangement of  $V_{\rm Cd}^{-1}$  is also shown in Figure S9a, which essentially corresponds to a  $V_{\rm Cd}^{-2}$ -like defect surrounded by a hole in a shallow perturbed-VBM state. The lack of intersection between the PES of this state and that of  $V_{\rm Cd}^{-2}$  results in a tunneling-dominated capture process, with a rate much lower than for the  $V_{\rm Cd}^{-2} + h_{VBM}^+ \rightarrow V_{\rm Cd}^{-1}(C_{3v})$  transition (calculated difference of ~ 10 orders of magnitude), and so it is not discussed further.

Also interesting to note that the PESs for the (2-/-) and  $(-/0)_{\text{Bipolaron}}$  are quasi-harmonic, as is typically the case and often assumed in calculations of non-radiative capture rates, while those of the  $(-/0)_{\text{Te Dimer}}$  level are not. For the  $V_{\text{Cd}}^{-1}$  PES, this is explained by the presence of the nearby metastable  $T_d$  configuration (located  $\Delta Q = 7.35 \text{ amu}^{1/2}$ Å away in configurational space), which merges with the PES of the  $C_{3v}$  state to form the adiabatic energy surface shown in Figure S9b. Similar behavior has been witnessed for the so-called  $d^0 \text{ Si}_{\text{Ga}}$  center in GaAs.<sup>S60</sup> For the  $V_{\text{Cd}}{}^0_{\text{Te Dimer}}$  PES, we instead find a Morse potential to give a best fit to the data. This is because the structural distortion for  $V_{\text{Cd}}{}^0$  here primarily corresponds to localized bond breaking of the Te-Te dimer, and so the PES resembles an diatomic interaction.

The room temperature Sommerfeld parameters for negatively charged defect centers in CdTe — which depend on the carrier effective masses (determined using effmass<sup>S10</sup> in conjunction with the calculated bulk electronic structure (Section S3)) and high-frequency dielectric constant — are provided in Table S2. s(T) > 1 indicates an attractive interaction, thus enhancement of the capture rate, while s(T) < 1 indicates a repulsive interaction and suppression of the capture rate.

Table S2: Sommerfeld parameters s(T) for negatively charged defect centers in CdTe, at temperature T = 300 K.

Defect Charge	Carrier (Charge)	Sommerfeld Parameter $s(T = 300K)$
-1	Electron $(-1)$	0.0689
-1	Hole $(+1)$	9.71
-2	Hole $(+1)$	19.40

Capture cross sections  $\sigma_{n/p}$  were calculated according to Equation 8, using the calculated values for carrier effective mass (obtained from non-parabolic fitting of the band edges (Section S3, using the effmass<sup>S10</sup> package). The overall capture coefficients and crosssections at room temperature, as well as electron-phonon coupling matrix elements  $W_{if}$ , for each  $V_{Cd}$  transition level, are provided in Table S3.

Table S3: Electron-phonon coupling  $W_{if}$ , capture coefficients  $C_{n/p}$  and cross-sections  $\sigma_{n/p}$  for the (2 - / -),  $(-/0)_{\text{Te Dimer}}$  and  $(-/0)_{\text{Bipolaron}}$   $V_{\text{Cd}}$  centers in CdTe, at temperature T = 300 K.

Transition Level	Carrier (Charge)	$W_{if}$ [eV/amu <sup>1/2</sup> Å]	$C(T = 300K) \ [cm^3/s]$	$\sigma(T = 300K) \ [cm^2]$
(2 - / -)	Electron $(-1)$	$7.9  imes 10^{-4}$	$2.6\times 10^{-23}$	$7.0 \times 10^{-31}$
(2 - / -)	Hole $(+1)$	$3.9  imes 10^{-5}$	$5.1\times10^{-11}$	$2.4\times10^{-18}$
$(-/0)_{\rm Te\ Dimer}$	Electron $(-1)$	$2.6  imes 10^{-1}$	$2.6\times 10^{-6}$	$7.1 \times 10^{-14}$
$(-/0)_{\rm Te\ Dimer}$	Hole $(+1)$	$2.1\times 10^{-2}$	$3.9\times10^{-13}$	$1.8\times10^{-20}$
$(-/0)_{\rm Bipolaron}$	Electron $(-1)$	$3.5  imes 10^{-4}$	$5.4\times10^{-21}$	$1.5\times10^{-28}$
$(-/0)_{\rm Bipolaron}$	Hole $(+1)$	$3.1 \times 10^{-2}$	$4.4\times10^{-6}$	$2.1\times10^{-13}$

The variation of the capture coefficients  $C_{n/p}$  with temperature T, for each transition

level, are shown in Figures S10 and S11.



Figure S10: Carrier capture coefficients C as a function of temperature T for the (a) (2-/-), (b)  $(-/0)_{\text{Te Dimer}}$  and (c)  $(-/0)_{\text{Bipolaron}}$  transition levels of  $V_{\text{Cd}}$  in CdTe.

### S8.1 $V_{\rm Cd}$ — (2-/-)

The hole capture coefficient  $C_p$  of the (2 - /-) level shows a near-negligible dependence on temperature above T = 200 K. This is due to the near-zero classical energy barrier  $\Delta E = 0.036$  eV for capture (the difference in energy between the PES minimum and the point of intersection with the PES of the other charge state) between the PES of  $V_{\rm Cd}^{-2}$  $+ e_{CBM}^- + h_{VBM}^+$  and  $V_{\rm Cd}^{-1} + e_{CBM}^-$  (Figure S9a), rendering it an activationless process. The small activation barrier  $\Delta E = 0.036$  eV and near-parallel PESs would suggest an extremely large hole capture coefficient ( $C_p^{2-} > 10^{-8} \,\mathrm{cm}^3/\mathrm{s}$ ) for  $V_{\rm Cd}^{-2}$  (as typically expected for a trap level close to the VBM — Figure 4), however we find the hole capture rate to in fact be limited by relatively weak electron-phonon coupling ( $W_{if}$  in Table S3) in this case, yielding a moderate capture rate  $C_p^{2-}(T = 300K) = 5.1 \times 10^{-11} \,\mathrm{cm}^3/\mathrm{s}$ .

The electron capture coefficient  $C_n$ , on the other hand, shows a marked dependence on temperature. The reason for this is the domination of tunneling-mediated capture at low temperature, with Arrhenius-like capture behavior only kicking in at  $T \sim 250$  K, due to the large energy barrier of 0.855 eV (Figure S9a). It is this large energy barrier, in combination with a relatively small electron-phonon coupling, which yields extremely slow electron capture kinetics at room temperature for  $V_{\rm Cd}^{-1}$  (Table S3).



Figure S11: Hole and electron capture coefficients  $(C_p \text{ and } C_n)$  as a function of temperature T for the  $(\mathbf{a},\mathbf{b})$  (2 - /-),  $(\mathbf{c},\mathbf{d})$   $(-/0)_{\text{Te Dimer}}$  and  $(\mathbf{e},\mathbf{f})$   $(-/0)_{\text{Bipolaron}}$  charge transitions of  $V_{\text{Cd}}$  in CdTe. Beware the differing y-axis ranges when considering temperature dependence of the various trap levels.

### S8.2 $V_{\rm Cd}$ — (-/0)<sub>Te Dimer</sub>

For the  $(-/0)_{\text{Te Dimer}}$  level, the behavior is slightly different, due to the anharmonic PESs (Figure S9b) and different energy barriers. At room temperature, the hole capture rate remains relatively low despite strong electron-phonon coupling, due to the sizable energy barrier  $\Delta E = 0.538 \text{ eV}$ . Interestingly, the hole capture coefficient  $C_p$  shows a strong Arrhenius temperature dependence, with an absence of quantum mechanical tunneling at low temperature. This arises from the large anharmonic lattice relaxation (i.e. Morse-like potential) of the  $V_{\text{Cd}}^0$  defect (itself a consequence of Te dimer formation), which results in negligible overlap of vibrational wave functions below the classical energy barrier. In other words, Te dimer formation yields a strong separation of the PES minima in configurational space ( $\Delta Q = 20.22 \text{ amu}^{1/2}$ Å compared to 7.35 and 8.12 amu<sup>1/2</sup>Å for (2 - /-) and  $(-/0)_{\text{Bipolaron}}$ ), preventing quantum tunneling. Thus, calculated hole capture coefficient decreases exponentially, even at extremely low temperatures T < 50 K.

In contrast, electron capture occurs with a large overlap of vibrational wave functions, once the small energy barrier ( $\Delta E = 0.083 \text{ eV}$ ) has been overcome, explaining the consistent weak exponential temperature dependence from T > 50 K onwards (Figures S10b and S11d). Despite the (-/0)<sub>Te Dimer</sub> trap level lying over 1 eV below the CBM (Figure 4), typically implying slow electron capture, we in fact find an enormous electron capture coefficient. This is a direct result of the anharmonicity of the PESs at this trap center, and is exacerbated by large electron-phonon coupling — arising from the strong local distortion associated with Te dimer formation. Consequently, the harmonic approximation commonly applied for the calculation of carrier capture coefficients, while appropriate for the (2 - /-) and  $(-/0)_{\text{Bipolaron}}$ centers, grossly fails in this case. This behavior is crucial to the non-radiative recombination activity of the cadmium vacancy as, without it, there would be negligible electron capture at this defect species  $(C_n(T = 300K) < 10^{-20} \text{ cm}^3/\text{s}$  for the (2 - /-) and  $(-/0)_{\text{Bipolaron}}$ levels) and thus negligible electron-hole recombination.

Moreover, this behavior has important implications for other defects in CdTe, namely tellurium interstitials (Te<sub>i</sub>) and tellurium-on-cadmium antisites (Te<sub>Cd</sub>), which we have found to exhibit Te dimer structures and high concentrations in p-type CdTe. Calculation and analysis of carrier capture kinetics at these trap centers is currently underway.

#### S8.3 $V_{\rm Cd}$ — (-/0)<sub>Bipolaron</sub>

Finally, for the  $(-/0)_{\text{Bipolaron}}$  transition level, the behavior is quite similar to the (2 - / -)level for both the hole and electron capture processes, due to the similarity of the PESs in Figures S9. The only difference is that the hole capture coefficient  $C_p$  is about 5 orders of magnitude larger for  $(-/0)_{\text{Bipolaron}}$  (Table S3) — due to stronger electron-phonon coupling (Table S3), with almost identical T dependence (Figures S11a,e).

For electron capture, both the magnitude and T dependence of electron capture are essentially identical, due to similar energy barriers (Figure S9) and electron-phonon coupling (Table S3).

### $\mathbf{S8.4} \quad \boldsymbol{V_{\mathrm{Cd}}}^{0}_{\mathbf{Bipolaron}} \rightarrow \, \boldsymbol{V_{\mathrm{Cd}}}^{0}_{\mathbf{Te} \; \mathbf{Dimer}}$

To estimate the rate of transformation from  $V_{\rm Cd}{}^{0}_{\rm Bipolaron}$  to  $V_{\rm Cd}{}^{0}_{\rm Te \ Dimer}$ , we can invoke Transition State Theory,<sup>S62</sup> which gives a reaction rate of:

$$k = \nu g \exp(-\frac{\Delta E}{k_B T}) \tag{10}$$

where  $\nu$  is the attempt frequency, g is the ratio of the degeneracies of the final and initial states and  $\Delta E$  is the activation energy barrier. Using the Nudged Elastic Band (NEB) method,<sup>S63</sup> we calculate an upper limit to the barrier of this transition as  $\Delta E = 0.30 \text{ eV}$ . This value, alongside  $\nu = 1.45 \text{ THz}$  (from the interpolated PESs along the linear path between configurations) and g = 1 (both configurations have  $C_{2v}$  point-group symmetry with 2 sets of 2 equivalent Te atoms), gives a room-temperature transition rate  $k_{\text{Bp}\to\text{Te Dimer}} =$  $1.32 \times 10^7 \text{ s}^{-1}$ . Note that this calculation involves the approximation of equal entropies for the bipolaron and Te dimer states. As expected, this transition occurs more rapidly than both the competing electron capture process:

$$R_n^{0, Bipolaron} / [V_{Cd}^0_{Bipolaron}] = C_n^0 n \simeq (5.4 \times 10^{-21} \,\mathrm{cm}^3/\mathrm{s})(10^{12} \,\mathrm{cm}^{-3}) \simeq 10^{-8} \,\mathrm{s}^{-1}$$

and subsequent electron capture process:

$$R_n^{0,\,Te\ Dimer}/[\,V_{\rm Cd}{}^0_{\rm \,Te\ Dimer}]\ =\ C_n^{\,0}\,n\ \simeq\ (2.6\times 10^{-6}\,{\rm cm}^3/{\rm s})(10^{12}\,{\rm cm}^{-3})\ \simeq\ 10^6\,{\rm s}^{-1}$$

Thus it is reasonable to assume that, upon hole capture by  $V_{\rm Cd}^{-1}$  to form  $V_{\rm Cd}^{0}_{\rm Bipolaron}$ , the metastable neutral vacancy will transform to  $V_{\rm Cd}^{0}_{\rm Te \ Dimer}$  before electron capture can take place, and that the subsequent electron capture process will be the rate-determining step in the  $(-/0) V_{\rm Cd}$  recombination cycle.

#### S8.5 Total $V_{\rm Cd}$ Recombination Kinetics

The presence of multiple charge transition levels in the gap means the overall capture kinetics of the  $V_{\rm Cd}$  center is governed by a set of coupled rate equations describing the individual capture processes:



Figure S12: Schematic of the overall non-radiative recombination mechanism at the cadmium vacancy center, where  $k_{Bp->Dimer}$  is the transition rate from the bipolaron to Te dimer configuration for  $V_{Cd}^0$  and the dominant, rapid processes are colored green.

Notably, the large capture coefficients for the rapid (green) processes are comparable to the most deleterious extrinsic defects in silicon <sup>S64,S65</sup> and the kesterite (CZTS) family of thinfilm photovoltaics, <sup>S60,S66</sup> classifying them as 'killer' defects <sup>S67</sup> demonstrating the potential impediment of this native defect species to the device efficiency of untreated CdTe. Under steady-state conditions, each microscopic capture reaction is in quasi-equilibrium:

$$R_p^q \left(= C_p^q \left[D^q\right] p - e_p^{q+1} \left[D^{q+1}\right]\right) = R_n^{q+1} \left(= C_n^{q+1} \left[D^{q+1}\right] n - e_n^q \left[D^q\right]\right)$$
(11)

where  $R_p^q$  is the net hole trapping rate of defect D in charge state q, p and n are the hole and electron carrier concentrations,  $e_p^{q+1}$  and  $e_n^q$  are the hole and electron emission coefficients, and  $[D^q]$  is the concentration of defect D in charge state q.

To determine the overall non-radiative recombination kinetics for  $V_{\rm Cd}$  in intrinsic CdTe, we first calculate the self-consistent Fermi level and concentrations of all native defects (using the calculated formation energies). For this, we assume a typical anneal temperature of  $600 \,^{\circ}{\rm C}$ , <sup>S68</sup> in a Te-rich atmosphere with no impurity species present, producing a vacancy concentration  $[V_{\rm Cd}] = 1.3 \times 10^{13} \, {\rm cm}^{-3}$ . Upon quenching to room temperature (T = 300 K) with all intrinsic defect concentrations 'frozen-in', we obtain a *p*-type Fermi level 0.14 eV above the VBM, with the majority of  $V_{\rm Cd}$  in the -1 (> 95%) and -2 (~ 4%) charge states — a consequence of total-energy minimization under the constraint of charge neutrality.

The overall non-radiative recombination kinetics upon photo-illumination are extremely rapid, following the  $V_{\rm Cd}^{-1} \rightarrow V_{\rm Cd}^{0}_{\rm Bipolaron} \rightarrow V_{\rm Cd}^{0}_{\rm Te \ Dimer} \rightarrow V_{\rm Cd}^{-1}$  cycle in Figure S12. Due to the large hole concentrations in the *p*-type material ( $p = 1.02 \times 10^{-17} \,\mathrm{cm}^{-3}$ ), the vast majority of Cd vacancies end up in the  $V_{\rm Cd}^{0}_{\rm Te \ Dimer}$  state under photo-illumination, with electron capture by this defect species representing the rate-limiting step:

$$R_{Total} \simeq R_n^0 = C_n^0 [V_{\rm Cd}{}^0_{\rm Te \, Dimer}] n$$

With this recombination behavior, the minority carrier lifetime is limited to 29 ns and the maximum achievable photovoltaic efficiency is reduced from a Shockley-Quiesser limit of 32.1% to a 'trap-limited conversion efficiency' (TLC)<sup>S66</sup> of 26.7% (based on the bulk electronic properties, excluding interfacial effects and assuming perfect step-function absorption). This massive drop in efficiency demonstrates that the cadmium vacancy can act as a 'killer' defect center in intrinsic *p*-type CdTe. Moreover, this is a clear testament to the importance of chlorine treatment, strategic impurity doping and Cd-rich growth environments in the fabrication of high efficiency CdTe devices, <sup>S68–S81</sup> all of which contribute to either the

reduction or passivation of cadmium vacancies.

#### **S8.6** Comparison with Experimental and Theoretical Literature

The 1D configuration coordinate model is an approximation to multidimensional energy surfaces and combinations of normal-mode lattice vibrations.<sup>S59,S60</sup> Consequently, the calculated energy barriers and capture coefficients should be considered as upper and lower bounds of the true values, respectively. Regardless, the extremely small capture cross-sections of  $\sigma < 10^{-20}$ cm<sup>2</sup> for  $\sigma_n^{-1}$ ,  $\sigma_{p, Te Dimer}^{-1}$  and  $\sigma_{n, Bipolaron}^0$  almost certainly rule out their experimental detection.

Kremer and Leigh<sup>S37</sup> and Scholz et al.<sup>S38</sup> reported hole traps in *p*-type CdTe, possibly associated with cadmium vacancies, at 0.32 eV and 0.29 eV above the VBM, with capture cross-sections of  $\sigma_p(T = 250K) = 1.3 \times 10^{-18} \text{ cm}^2$  and  $\sigma_p(T = 215K) = 6 \times 10^{-18} \text{ cm}^2$ respectively, using Deep Level Transient Spectroscopy (DLTS). We tentatively propose the negative-U (2-/0)  $V_{\text{Cd}}$  level as the atomic origin in these cases, due to the close alignment with our calculated  $V_{\text{Cd}}^{-2}$  hole capture cross-section  $\sigma_p^{-2}(215 < T < 250K) =$  $2.3 \times 10^{-18} \text{ cm}^2$  (the rate-limiting step in the  $V_{\text{Cd}}^{-2} \rightarrow V_{\text{Cd}}^0$  transition) and thermal ionization energy (0.35 eV). A defect complex involving  $V_{\text{Cd}}^{-2}$  is another possible origin of this level.

Several groups have also reported a deep trap associated with the cadmium vacancy in the range 0.43-0.54 eV above the VBM, using Photo-Induced Current Transient Spectroscopy (PICTS)<sup>582</sup> and DLTS measurements, <sup>S36,S38,S80,S82–S84</sup> with capture cross-sections in the range  $\sigma_p(T \sim 250K) = 1.6 \times 10^{-14} - 1.1 \times 10^{-13} \text{ cm}^2$ . These experimental values align with our calculated thermodynamic transition level  $(-/0)_{\text{Te Dimer}}$  ( $\Delta E = 0.483 \text{ eV}$ ) and capture cross-section ( $\sigma_p(T = 188K) = 1.9 \times 10^{-13} \text{ cm}^2$ , for the  $V_{\text{Cd}}^{-1} \rightarrow V_{\text{Cd}}^{0}_{\text{Bipolaron}}$  transition — the rate-limiting step for  $V_{\text{Cd}}^{-1} \rightarrow V_{\text{Cd}}^{0}_{\text{Te Dimer}}$ ) for the  $(-/0) V_{\text{Cd}}$  level, and so we suggest this defect center as the origin of this deep trap.

Both Szeles et al.<sup>S69</sup> and Rakhshani and Makdisi<sup>S85</sup> also reported a  $V_{Cd}$ -associated hole trap at 0.42-0.46 eV and 0.49 eV above the VBM respectively, though their measured cap-

ture cross-sections of  $\sigma_p(T = 188K) = 2 \times 10^{-16} \text{ cm}^2$  and  $\sigma_p(T = 380K) = 3.3 \times 10^{-17} \text{ cm}^2$ are quite different to other experimentally reported values and our calculated values. The Te<sub>Cd</sub> antisite defect has been found both in this and other research,<sup>S44</sup> to be a low-energy defect in Te-rich CdTe and to exhibit DX-center type relaxation in certain charge states, such that Te<sub>Cd</sub><sup>-2</sup>  $\simeq$  (Te-Te)<sub>i</sub><sup>-2</sup> (split interstitial) + V<sub>Cd</sub>. Thus we propose a deep DX-center Te<sub>Cd</sub> level as a likely origin of this apparent discrepancy, which will be further analyzed in following work.

Using an admittance spectroscopy technique, Reislöhner et al. <sup>S86</sup> found a thermal ionization energy of 0.23(3) eV for a hole trap in CdTe attributed to the (-/2-) V<sub>Cd</sub> level, in good correspondence with our predicted value of  $\Delta E = 0.22 \text{ eV}$  (Figure S9a).

Yang et al.<sup>S87</sup> also calculated carrier capture coefficients for three  $V_{\rm Cd}$  traps. However, these values unfortunately are not comparable to our results, as they did not find the equilibrium  $C_{3v}$  configuration for  $V_{\rm Cd}^{-1}$ , which drastically affects the carrier capture PESs and thus overall recombination behavior, and employed the harmonic approximation in the charge capture model. Likewise, Krasikov et al.<sup>S88</sup> calculated capture cross-sections for  $V_{\rm Cd}$  in CdTe, which again are several orders of magnitude off our predicted behavior, due to the exclusion of the Te dimer and hole polaron configurations for  $V_{\rm Cd}^{0}$  and  $V_{\rm Cd}^{-1}$  and use of the harmonic approximation.

### S8.7 Considerations for Accurate Calculations of Recombination Kinetics

In addition to demonstrating the impact of  $V_{\rm Cd}$  on CdTe PV performance, these results yield important considerations for the accurate modeling of defect-mediated recombination in photovoltaic materials.

Firstly, the correct location of equilibrium defect structures (such as the  $C_{3V}$  hole polaron  $V_{\rm Cd}^{-1}$  and Te dimer  $V_{\rm Cd}^{0}$  states) is essential, not just for accurate prediction of charge transition energy levels and negative-U behavior, but also for carrier capture kinetics.

Secondly, by performing a relatively dense sampling of the defect PES across the configuration landscape (Figure 6), we have incorporated the effects of anharmonicity and ensured the accuracy of the fitted potentials. Often this is avoided by the use of the harmonic approximation, due to the associated increase in computational cost. While appropriate for the (2 - /-) and  $(-/0)_{\text{Bipolaron}}$  centers, the approximation of harmonic PESs grossly fails for the  $(-/0)_{\text{Te Dimer}}$  level — a direct result of strong local distortion and anharmonicity due to Te dimer formation. However, our results demonstrate the necessity of this procedure for defects which undergo strong lattice relaxation upon charge transition (such as the Te dimer  $V_{\text{Cd}}^0$  arrangement), as demonstrated in other recent works.<sup>S60,S89</sup> To illustrate the critical dependence of predicted non-radiative recombination activity on these considerations, we note that, without both of them, negligible electron capture would be expected at  $V_{\text{Cd}}$  $(C_n(T = 300K) < 10^{-20} \text{ cm}^3/\text{s}$  for the (2 - /-) and  $(-/0)_{\text{Bipolaron}}$  levels — Table S3) and thus negligible electron-hole recombination.

Finally, it is important to note that the use of solely the *equilibrium* structures for each defect charge state would lead to completely erroneous predicted carrier capture kinetics in this case. In fact, the overall non-radiative recombination rate at  $V_{\rm Cd}$  would be reduced by approximately seven orders of magnitude (Table S3), compared to the inclusion of metastable (*i.e.* neutral bipolaron) configurations, leading to the spurious prediction of negligible impact of  $V_{\rm Cd}$  on CdTe device efficiencies. The requirement of excited states in the calculation of carrier capture behavior, in order to yield results matching experimental observations, has been noted in the literature in recent years.<sup>S56,S90</sup>

Overall, we have provided a powerful demonstration of the necessity to obtain correct equilibrium defect structures and include the effects of both metastable configurations and anharmonic energy surfaces for the accurate calculation of non-radiative recombination rates in photovoltaic materials.

## S9 Experimental Identification of Tellurium dimerization

Experimental verification of tellurium dimerization at point defects in CdTe (which we also predict for other low-energy CdTe defects; tellurium-on-cadmium antisites  $Te_{Cd}$  and tellurium interstitials  $Te_i$ ) would provide valuable further evidence of the previously-hidden impact of this species on electron-hole recombination in CdTe and the accuracy of state-ofthe-art defect modelling techniques. While Te dimers have been experimentally observed at grain boundaries and surfaces in CdTe,  $^{S29,S30}$  to the knowledge of the authors, there have been no experimental reports of this species at point defects thus far. We believe this is a consequence of the recency of their prediction, the inherent difficulty in structural characterization of low concentration, diamagnetic, intrinsic defects and the fact that CdTe film growth is typically performed using methods which minimise defect formation (and thus non-radiative recombination). We suggest that nuclear magnetic resonance (NMR) — 8% naturally-abundance of NMR-active Te — or highly-sensitive IR/Raman vibrational spectroscopy on an ion-irradiated or vacuum-annealed sample of CdTe under Te-rich conditions (to induce sufficiently large defect concentrations) could possibly allow for the identification of this structural motif.

Two other options include the use of (1) Mössbauer Spectroscopy — from which the isomer shift and (possibly) nuclear splittings could evidence the Te - Te dimer state — or possibly (2) Optically Detected Magnetic Resonance (ODMR) — to measure the  $V_{\rm Cd}^{0}$  (diamagnetic)  $\rightarrow V_{\rm Cd}^{-1}$  (paramagnetic) optical transition energy, vibrational relaxation and luminescence behavior.



Figure S13: Electronic density of states for a CdTe supercell containing (**a**)  $V_{\rm Cd}^{-2}$  and (**b**) the metastable  $C_{2v}$  bi-polaron solution for  $V_{\rm Cd}^{0}$  (showing the unoccupied Te p hole states just above the VBM. Fermi level set to 0 eV.

#### S11 Chemical Potentials

Being a binary semiconductor, CdTe has a simple phase stability diagram, bounded by pure metallic Cd ( $\mu_{Cd} = 0$ ) and Te metalloid ( $\mu_{Te} = 0$ ).

Table S4: Calculated chemical potential (free energy of formation) of CdTe, compared to experiment and other theoretical values in literature.

Functional	$\mu_{\mathbf{CdTe}}$
$Experiment^{S91}$	$-1.17\mathrm{eV}$
<b>HSE</b> (34.5 %)( <b>This Work</b> )	$-1.25\mathrm{eV}$
HSE (33%) & FERE <sup>S23</sup>	$-1.26\mathrm{eV}$
PBE0 <sup>S92</sup>	$-1.13\mathrm{eV}$
$\mathrm{GGA+U}_{SIC}$ <sup>S92</sup>	$-1.78\mathrm{eV}$

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